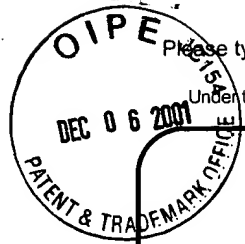


12-10-01

1765



Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/614,113		
	Filing Date	7/12/2000	
	First Named Inventor	Pan et al.	
	Group Art Unit	1765	
	Examiner Name	C. Brown	
Total Number of Pages in This Submission		Attorney Docket Number	2269-2915.3US

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

RECEIVED
DEC 14 2001
TC 1700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Devin R. Jensen
Signature	REG. NO. 44,805
Date	December 6, 2001

CERTIFICATE OF MAILING

Express Mail Label Number: EL740517066US
Date of Deposit: December 6, 2001
Person Making Deposit: Orlena Howell

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#6/A
r10
12/12/01
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pan et al.

Serial No.: 09/614,113

Filed: July 12, 2000

For: TECHNIQUE FOR ELIMINATION OF
PITTING ON SILICON SUBSTRATE
DURING GATE STACK ETCH

Examiner: C. Brown

Group Art Unit: 1765

Attorney Docket No.: 2915.3US (96-
0149.2)

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EL740517066US

Date of Deposit with USPS: December 6, 2001

Person making Deposit: Orlena Howell

RECEIVED
DEC 14 2001
TC 1700

AMENDMENT

Box Non Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

Sir:

The following amendments and remarks are filed in response to the Examiner's remarks in the Office Action mailed September 11, 2001, the three-month shortened statutory period for response to which expires on December 11, 2001.

12/19/2001 VAUCEBURN 00000003 201469 09614113

01 FC:103 36.00 CH
02 FC:101 04.00 CH